## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : Confirmation No.

Applicant: Hercilio Rivas, et al.

Filed : TC/A.U. : Examiner :

Docket No. : 01-682

Customer No.: 34704

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

## INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a listing of patent documents on Form PTO-1449. However, the following are foreign documents:

European Patent Document No. EP0174795A2, published March 19, 1986. This document discloses a road surface dressing composition which is made by (a) blending together at a temperature of 140 to 200 DEG C, (i) a bitumen fluzed with a petroleum derived aromatic oil to a penetration of over 220 (mm 10), (ii) a styrene-butadiene copolymer; (b) mixing the resultant blend for at least 10 minutes at a temperature of 150 to 190 DEG C with 0.01 to 0.6% of sulphur based on the weight of the bitumen content of the said fluxed bitumen; and (c) mixing the resultant product at a temperature of 125 to 150 DEG C with a light fluxant preferably hydrocarbonaceous, to decrease the final viscosity of the composition to a required value.

French Patent Document No. FR2533935A1, published April 6, 1984. This document discloses a preparation of bitumen-polymer compositions from master solutions based on butadiene-styrene (SBS) copolymer, obtained by stirring the copolymer in a solvent medium at 120-180 DEG C and then, after homogenizing, addition of solid sulphur and mixing of the final product with the bitumen. According to the invention an ethylene-vinyl acetate EVA copolymer is added to the SBS copolymer, the medium consisting of a mixture of an aromatic heavy cut from steam cracking of paraffinic fractions originating from the distillation of oil crudes and of a

lighter aromatic petroleum oil of higher flash point. Application especially to the production of surface dressings, bituminous mixtures for roadways and industrial bitumens for sealing.

German Patent Document No. DE3630132A1 is in the German language and no English equivalent is available.

German Patent Document No. DD289052A5 is in the German language and no English translation equivalent is available.

German Patent Document No. DD289056A5 is in the German language and no English equivalent is available.

German Patent Document No. DE4034319A1, published May 16, 1991. This document discloses a polymer-modified bitumen (I) is produced by stirring 67-98 pts. wt. bitumen (with softening pt. 35-90 deg. C and contg. At least 25 wt.% resin) with 1.8-30 pts. wt. polybutadienes (with MN 2000-10,000, iodine no. 350-450 g I/100 g and viscosity 1-250 Pa.s at 20 deg.C) and 0.2-3.0 pts. wt. sulphur, for up to 12 hrs. at 160-230 deg. C. Up to 75 wt. % of the bitumen component consists of resin-rich hydrocarbon mixts., such as heavy vacuum distillates and/or petroleum processing residues and/or pitches or tars from hard coal or brown coal processing, or mixts. Up to 50 wt% of the polybutadiene consists of high-mol. Wt. synthetic rubber with Mb above 20,000.

Copies of the documents listed on Form PTO-1449 are attached hereto.

None of the patent documents are believed to negate the patentability of the present invention.

No fee is believed to be due. Should the Commissioner determine that a fee is due, he is hereby authorized to charge said fee to Deposit Account No. 02-0184

> Respectfully Submitted, Hercilio Rivas, et al,

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hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: "Commissioner for Patents P. O. Box 1450. Alexandria, VA 22313" on September 9, 2003

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	Substitute for form 1449/PTO		Complete if Known		
		Application N	umber .		
	INFORMATION DISCLO	SLIDE Filing Date			
		First Named	Inventor Hercilio Rivas, et a		
	STATEMENT BY APPL				
	(Use as many sheets as necessa	(y) Examiner Nat	me		
	Sheet of	Attorney Doc	ket Number 01-682		

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 5,773,496	06-30-1998	GRUBBA	
		<sup>US-</sup> 6,074,469	06-13-2000	COLLINS ET AL.	
		<sup>US-</sup> 6,133,351	10-17-2000	HAYNER	
		<sup>US-</sup> 5,672,642	09-30-1997	GROS	
		<sup>US-</sup> 4,818,367	04-04-1989	WINKLER	
		<sup>US-</sup> 4,130,516	12-19-1978	GAGLE ET AL.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
		EP0174795A2	03-19-1986	Exxon France		
	-	FR2533935A1	04-06-1984	ViaFrance, SA		
		DE3630132A1	04-09-1986	Haas et al.		
		DD289052A5	04-18-1991	Hydrierwerk		
		DD289056A5	04-18-1991	Hydrierwerk		
		DE4034319A1	05-16-1991	Hydrierwerk		

Examiner		Date	
Signature	·	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.